



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/763,115
Filing Date	January 22, 2004
First Named Inventor	Lim
Art Unit	3731
Examiner Name	
Attorney Docket Number	4906-017/PC883.00

Sheet	1	of	1
-------	---	----	---

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/MP/	A	US- 5,755,732	05/28/1998	Green et al.	
	B	US- 5,782,830	07/21/1998	Farris	
	C	US- 6,319,257	11/20/2001	Carignan et al.	
	D	US- 2002/143401	10/03/2002	Michelson	
/MP/	E	US- 2002/128716	09 11/12/2002	Biro et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

8/21/10

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
/MP/	F	WO 2004/019829	03/11/2004	SDGI Holdings, Inc.		
	G	WO 03/092507	11/13/2003	Bertagnoli et al.		
	H	FR 2,817,463	06/07/2002	Stryker Spine SA		
/MP/	I	FR 2,824,261	11/08/2002	LDR Medical		

Examiner Signature	/Michael Priddy/	Date Considered	05/02/2007
--------------------	------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

FORM PTO-1449	Docket Number: Serial Number: PC883.00/4906-017 10/763,115
INFORMATION DISCLOSURE CITATION IN AN APPLICATION PURSUANT TO 37 CFR §1.97 & 1.98	Applicant: Roy Lim
	Filing Date: Group: January 22, 2004 3731

FOREIGN PATENT DOCUMENTS

	Patent No.	Date	Name	Class	Subcl	Translation	
						Yes	No
/MPI/	DDIWO 02/380882	2002	Boehm, et al.	02/38062			
	EIWO 00/74605	2000	Graf				
	FFIWO 99/42062	1999	Harms, et al.				
	GGIWO 99/32054	1999	Graf				
	HHIWO 98/48738	1998	Crozet				
/MPI/	II IWO 97/00054	1997	Olerud				

8/21/10

OTHER DOCUMENTS (including author, title, date, pages, etc.)

/MP*	IJJ	US 2003/0149484; Gary K. Michelson; Spinal Fusion Implanting Having Deployable Bone Engaging Projections, Instrumentation, and Methods for Use Thereof; Aug. 7, 2003
*	IKK	US 2002/0177897; Gary K. Michelson; Instrumentation and Method for Inserting and Deploying and Expandable Interbody Spinal Fusion Implant; Nov. 28, 2002
*	ILL	US 2002/0138146; Roger P. Jackson; Anterior Expandable Spinal Fusion Cage System; Sep. 26, 2002
*	IMM	US 2002/0128713; Bret Ferree; Spinal Fusion Cage with Lordosis Correction; Sep. 12, 2002
*	INN	US 2002/0068977; Roger P. Jackson; Anterior Variable Expandable Fusion Cage; June 6, 2002
*	IOO	US 2002/0068976; Roger P. Jackson; Posterior Expandable Fusion Cage; June 6, 2002
*	IPPI	US 2002/0045945; Liu et al.; Expandable Interbody Fusion Cage; Apr. 18, 2002
*	IQQ	US 2002/0045943; Chang Jong Uk; Prosthetic Device for Correcting Deformity of Spine; Apr. 18, 2002
	IRR	US 2002/0010511; Gary Karlin Michelson; Expandable Implant with Interlocking Walls; Jan. 24, 2002
/MP*	ISS	US 2001/0032020; Petrus Besselink; Reinforced Expandable Cage; Oct. 18, 2001

EXAMINER /Michael Priddy/	DATE CONSIDERED 05/02/2007
------------------------------	-------------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation not in conformance and not considered. Include copy of this form with next communication to the applicant.